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## TRANSMITTAL FORM

(to be used for all correspondence during pendency of filed application)

		Application Number	10/046,447
		Filing Date	October 29, 2001
		First Named Inventor	Derek E. Poppink
		Group Art Unit Number	2163
		Examiner Name	Alford W. Kindred
Total Number of Pages in This Submission	7	Attorney Docket Number	20412-06420

### ENCLOSURES (check all that apply)

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### REMARKS:

### SIGNATURE OF ATTORNEY OR AGENT

Signature:		
Attorney/Reg. No.:	Amir H. Raubvogel, Reg. No. 37,070	Dated: JAN 12/07

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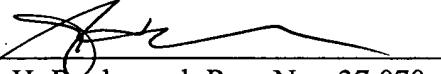
## THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Derek E. Poppink et al.  
APPLICATION NO.: 10/046,447  
FILING DATE: October 29, 2001  
TITLE: Asynchronous Unconscious Retrieval in a Network of Information Appliances  
EXAMINER: Alford W. Kindred  
GROUP ART UNIT: 2163  
ATTY. DKT. NO.: 20412-06420

### CERTIFICATE OF MAILING

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By:   
Amir H. Raubvogel, Reg. No.: 37,070

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### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;

- after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:
  - attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
  - Applicant certifies pursuant to 37 CFR § 1.97(e) that:
    - each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
    - no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
  - Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
    - each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
    - no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this

certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(d); OR

after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.

Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. \_\_\_\_\_, filed on \_\_\_\_\_, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).

Copies of any foreign patent documents and non-patent literature cited herein are enclosed.

Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).

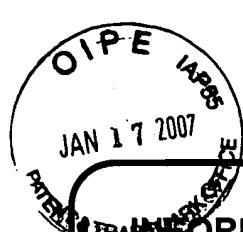
Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,  
DEREK E. POPPINK ET AL.

Dated: JAN 12/07

By:   
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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet

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of

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<i>Complete if Known</i>	
Application No.	10/046,447
Filing Date	October 29, 2001
First Named Inventor	Derek E. Poppink
Art Unit	2163
Examiner Name	Alford W. Kindred
Attorney Docket Number	20412-06420

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document No.	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A1	US-7,075,669	07-11-2006	Reddy
	A2	US-7,000,001	02-14-2006	Lazaridis
	A3	US-6,876,462	04-05-2005	Okada et al.
	A4	US-6,859,832	02-22-2005	Gecht et al.
	A5	US-6,670,968	12-30-2003	Schilit et al.
	A6	US-2002/0191210 A1	12-19-2002	Staas et al.
	A7	US-2002/0194307 A1	12-19-2002	Anderson et al.
	A8	US-6,487,278	11-26-2002	Skladman et al.
	A9	US-2002/0042838 A1	04-11-2002	Tabayoyon et al.
	A10	US-2002/0019851 A1	02-14-2002	Pollack
	A11	US-2001/0042032 A1	11-15-2001	Crawshaw et al.
	A12	US-6,160,631	12-12-2000	Okimoto et al.

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>

### OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>6</sup>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.